

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

1776/00053

U.S. APPLICATION NO. If known, see 37 CFR 1.53

09/673503 ✓

INTERNATIONAL APPLICATION NO.

PCT/JP99/02049 ✓

INTERNATIONAL FILING DATE

April 19, 1999 ✓

PRIORITY DATE CLAIMED

April 17, 1998 ✓

TITLE OF INVENTION

DATA COMPRESSING DEVICE AND METHOD, DATA EXPANDING DEVICE AND METHOD, DATA
COMPRESSING/EXPANDING SYSTEM AND METHOD, CODE BOOK MAKING METHOD, AND VECOTR QUANTIZING
DEVICE AND METHOD

APPLICANT(S) FOR DO/EO/US

Ohmi, Tadahiro, Kotani, Koji, Nakada, Akira, Imai, Makoto, Konda, Masahiro, Morimoto, Tatsuo, Yonezawa, Takemi,
Nozawa, Toshiyuki Masanori Fujibayashi and Takahisa Nitta ✓

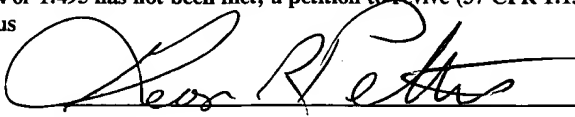
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☐ Other items or information:

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/67353		INTERNATIONAL APPLICATION NO. PCT/JP99/02049		ATTORNEY'S DOCKET NUMBER 1776/00053	
<input type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<p>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO.....\$860.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482)\$690.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,000.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00</p>					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	133 - 20 =	113	X \$18.00	\$2,034.00	
Independent Claims	35 - 3 =	32	X \$80.00	\$2,560.00	
Multiple dependent claim(s)(if applicable)			+ \$270.00	\$270.00	
TOTAL OF ABOVE CALCULATIONS =				\$5,994.00	
Reduction by 1/2 for filing by small entity, if applicable.				\$	
SUBTOTAL =				\$5,994.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
TOTAL NATIONAL FEE =				\$6,124.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$6,124.00	
				Amount to be: refunded	\$
				charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$6,124.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>22-0185</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0185</u>. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status</p> <p>SEND ALL CORRESPONDENCE TO: Pollock, Vande Sande & Amernick, R.L.L.P. 1990 M Street, N.W., Suite 800 Washington, DC 20036-3425</p>					
				<p>SIGNATURE  George R. Pettit NAME 27,369 REGISTRATION NUMBER</p>	

09 OCT 2000 16:56:23

13 Rec'd PCT/PTO 10 JAN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/673503

In re Application of:

Tadahiro Ohmi et al.



Serial No.: 09/673,503

Art Unit: To be assigned

Filed: October 17, 2000

Examiner: To be assigned

For: DATA COMPRESSING DEVICE
AND METHOD, DATA
EXPANDING DEVICE AND
METHOD, DATA
COMPRESSING/EXPANDING
SYSTEM AND METHOD, CODE
BOOK MAKING METHOD, AND
VECOTR QUANTIZING DEVICE
AND METHOD

Atty Docket: 1776/00053

REQUEST FOR SMALL ENTITY STATUS

Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicant hereby requests small entity status.

Respectfully submitted,

George R. Pettit, Reg. No. 27,369
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Date: January 9, 2001

002FOT: 005E2960